

Order Routing Disclosure

Under SEC Rule 606, broker/dealers that route customer orders in equity and option securities are required to make publicly available quarterly reports that identify the venues to which customer orders are routed for execution.

St Bernard Financial Services, Inc. directs its order flow to its clearing brokers for routing and execution. Its clearing brokers are Hilltop Clearing, SEI, and Tradepmr. St Bernard does not receive compensation for order flow.

The link to the disclosures is <https://www.finra.org/finra-data/606-nms-data>